



Subsequent Investment Instructions

Use this form for subsequent investment or withdrawal instructions.
Use one form per account.

Investor Information

Investor Name _____ Social Insurance Number _____ NEI Investor # _____

Joint Investor Name _____ Social Insurance Number _____

Plan Type & Number (Select One)

NEI Client Account # _____ Nominee # _____ Intermediary # / Code _____ / _____

Account Type (Select One)

Non-Registered

- Individual Joint with Right of Survivorship
 Corporation In Trust for _____
 Formal Trust Other _____
 Estate Other _____

Registered

- Individual RSP Spousal/Common-Law Partner RSP
 Individual RIF Spousal/Common-Law Partner RIF
 LIRA¹/LRSP¹/RLSP¹ LIF¹/LRIF¹/RLIF¹ TFSA
 Group RSP PRIF¹

¹For Locked-In Plans, please attach appropriate Locked-In Addendum and, if applicable, Spousal Consent Form and complete the following information:

Pension Plan: _____ Province of Legislation: _____

Investment Instructions

Lump Sum Transaction Transfer-In (attach Transfer documents)

Buy (\$/units)	Redeem (\$/units)	Gross or Net	Fund Name	Fund Code	Front End Sales Charge %	Wire Order #
				NWT	%	
				NWT	%	
				NWT	%	
				NWT	%	

Redemption Instructions: Cheque to Client EFT to Financial Institution on Record (If new please complete EFT form) Redirect to:

Institution Name _____ Address _____ City _____ Province _____ Postal Code _____

Switches (Note: Switches between allowable load structures may result in a charge)

Amount (\$, %, or units)		Fund Name	Fund Code	Sales Charge % (max. 2%)	Frequency ¹	Wire Order #
	Switch Out		NWT			
	Switch In		NWT	%		
	Switch Out		NWT			
	Switch In		NWT	%		

¹Frequency: W = Weekly, BW = Bi-Weekly, SM = Semi-Monthly, M = Monthly, BM = Bi-Monthly, Q = Quarterly, SA = Semi-Annually, A = Annually

Systematic Switches: Start Date: (mm/dd/yyyy): ____ / ____ / ____ End Date: (mm/dd/yyyy): ____ / ____ / ____

Dividend Distribution Options (Select one of the following for Non-Registered Accounts)

- Reinvest distributions and dividends from all Funds
 Deposit to Financial Institution specified in Banking Information section on the following page
 Redirect to Fund Number / Account
 Cheque to Applicant(s)

NOTE: If no option is selected, distributions and dividends will be reinvested.

Notes:



Banking Information (Please attach a VOID cheque with this Application)

Financial Institution Name _____ Institution Code _____ Transit Number _____ Account Number _____
 Address _____ City _____ Province _____ Postal Code _____

I authorize Northwest & Ethical Investments L.P. (NEILP) and the financial institution designated (or any financial institution I may authorize at any time) to debit my account as per my instructions for regular re-occurring payments. I acknowledge that, if this Authorization is for funds transfer PADs that have recourse through the clearing system, a PAD may be disputed but only under the following conditions:

- (a) the PAD was not drawn in accordance with this Authorization;
- (b) this Authorization was revoked

I further acknowledged that in order to be reimbursed, a declaration to the effect that either (a) or (b) took place must be completed and presented to the branch of the Financial Institution holding the Account on or before the 90th calendar day on which the PAD in dispute was posted to the Account. For PAC & SWP plans this authorization shall remain in effect until cancellation by written notice to NEILP at least 72 hours prior to the next payment date. For a joint bank account, all Depositors must sign if more than one signature is required on cheques issued against the account.

X _____ **X** _____
 Account Holder Signature Joint Account Holder Signature

Leverage Disclosure Statement

Is this Transaction Leveraged? Yes No If "Yes", please complete the *Leverage Disclosure Statement* below.

If you are borrowing money to purchase securities" "Using borrowed money to finance the purchase of securities involves greater risk than purchasing using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines."

I have read the disclosure statement above and understand the risks associated with borrowing money for the purchase of securities.

Investor Initials _____ & _____

Investor Authorization

I confirm that these transactions are compatible with my investment needs and objectives. If I am withdrawing funds from my account, I understand that those funds may be subject to a sales and/or administrative charge by Northwest & Ethical Investments L.P. (NEI). If my withdrawal is from an RSP or RIF, I understand that there will be withholding tax applied as per the Income Tax Act (Canada). If I am switching mutual fund units, I understand that a switch fee may be imposed by my dealer.

X _____ **X** _____ / /
 Investor Signature Joint Investor Signature Date (mm/dd/yyyy)
 _____ /
 Representative Name Representative Signature Dealer/Rep Code
 BCM confirms that the above transactions are suitable for _____ / /
 the investor(s) Branch Compliance Manager (BCM) Signature Date (mm/dd/yyyy)